

DIALOG(R)File 351:Derwent WPI  
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013156766 \*\*Image available\*\*

WPI Acc No: 2000-328638/200028

Related WPI Acc No: 2000-258992; 2000-317371; 2000-328605; 2003-334767;  
2004-794341

XRAM Acc No: C00-099507

XRFX Acc No: N00-247413

Water-soluble semiconductor nanocrystals for emitting light in the visible and infrared energy range, comprises a nanocrystal core overcoated with a shell layer and an outer layer at the outer surface of the overcoating layer

Patent Assignee: MASSACHUSETTS INST TECHNOLOGY (MASI )

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Number of Countries: 088 Number of Patents: 006

Basic Patent:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200017655	A1	20000330	WO 99US21375	A	19990917	200028 B

Priority Applications (No Type Date): US 99397436 A 19990917; US 98100947 P 19980918; US 98101046 P 19980918; US 98156863 A 19980918; US 98160454 A 19980924; US 98160458 A 19980924; US 99397428 A 19990917; US 99397432 A 19990917

Designated States (National): AE; AL; AM; AT; AU; AZ; BA; BB; BG; BR; BY; CA; CH; CN; CU; CZ; DE; DK; EE; ES; FI; GB; GD; GE; GH; GM; HR; HU; ID; IL; IN; IS; JP; KE; KG; KP; KR; KZ; LC; LK; LR; LS; LT; LU; LV; MD; MG; MK; MN; MW; MX; NO; NZ; PL; PT; RO; RU; SD; SE; SG; SI; SK; SL; TJ; TM; TR; TT; UA; UG; US; UZ; VN; YU; ZA; ZW

Designated States (Regional): AT; BE; CH; CY; DE; DK; EA; ES; FI; FR; GB; GH; GM; GR; IE; IT; KE; LS; LU; MC; MW; NL; OA; PT; SD; SE; SL; SZ; TZ; UG; ZW; AL; LI; LT; LV; MK; RO; SI

Abstract (Basic): WO 200017655 A1

NOVELTY - A water-soluble semiconductor nanocrystals comprises a semiconductor nanocrystal core of selected band gap energy overcoated with a shell layer of band gap energy greater than that of the core and with appropriate band offsets and further comprises an outer layer at the outer surface of the overcoating layer.

DETAILED DESCRIPTION - The water-soluble semiconductor nanocrystal comprises (i) a semiconductor nanocrystal core of selected band gap energy; (ii) a shell layer overcoating the semiconductor nanocrystal core which comprises a semiconductor material of band gap energy greater than that of the core; (iii) an outer layer which comprises a first portion molecule with at least one linking group for attachment to the nanocrystal and a second portion molecule with at least one hydrophilic group.

INDEPENDENT CLAIMS are also included for the following:

(a) water-soluble semiconductor comprising a semiconductor nanocrystal core of selected band gap energy and an outer layer with a first portion molecule of at least one linking group for attachment to the nanocrystal and a second portion molecule of at least one hydrophilic group;

(b) a water-soluble semiconductor nanocrystal comprising a semiconductor nanocrystal core of selected band gap energy, a shell layer overcoating the semiconductor nanocrystal core which comprises a semiconductor material of band gap energy greater than that of the core and a bilayer overcoating the shell which comprises an inner layer of affinity for the shell and an outer layer with a molecule having a hydrophilic group spaced apart from the inner layer by a hydrophobic

region adjacent to the inner layer; and

(c) a composition comprises a water-soluble nanocrystal dispersed or dissolved in an aqueous medium.

USE - To emit light in the visible and infrared energy range.

ADVANTAGE - The water-soluble semiconductor nanocrystals exhibit high quantum yields with photoluminescence emissions of high spectral purity, it is readily soluble and stable in aqueous systems and demonstrate chemical and electronic stability.

pp; 55 DwgNo 0/6

Title Terms: WATER; SOLUBLE; SEMICONDUCTOR; EMIT; LIGHT; VISIBLE; INFRARED; ENERGY; RANGE; COMPRISE; CORE; OVERCOAT; SHELL; LAYER; OUTER; LAYER; OUTER; SURFACE; OVERCOAT; LAYER

Derwent Class: A85; E19; E37; L03; S03; U11; X26

International Patent Class (Main): C09K-011/08; G01N-033/58

International Patent Class (Additional): C09K-011/02; C09K-011/55;

C09K-011/56; C09K-011/62; C09K-011/64; C09K-011/66; C09K-011/70;

C09K-011/74; C09K-011/75; C09K-011/88; C09K-011/89; H05B-033/10

File Segment: CPI; EPI

Manual Codes (CPI/A-N): A12-L03; A12-W12C; E05-G; E06-H; E07-H; E10-A03;

E10-A05; E10-A07; E10-A09B2; E10-A10; E10-A19B; E10-A22; E10-A24B;

E10-B02; E10-B03; E10-B04; E10-C01; E10-C02; E10-C04; E10-D03; E10-E03;

E31-G; E31-H05; E31-K07; E31-L; E31-M; E31-P06A; E34-B04; E35; L04-A

Manual Codes (EPI/S-X): S03-E04D; S03-E14H; U11-A15; U11-B03; X26-J

Chemical Fragment Codes (M3):

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## Polymer Indexing (PS):

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- \*001\* 018; G0237 G0102 G0022 D01 D12 D10 D18 D51 D53 G0271-R G0260 D26  
F36 F35 G0340-R G0339 D58 D63 F41 F89 G0384-R D60 D61-R F16 F35-R  
F26-R F34 F54 F53 F62; R00446 G0282 G0271 G0260 G0022 D01 D12 D10  
D26 D51 D53 D58 D60 D83 F36 F35; R00460 G0306 G0271 G0260 G0022 D01  
D12 D10 D26 D51 D53 D58 D60 D84 F36 F35; H0000; H0011-R; L9999  
L2573 L2506; L9999 L2528 L2506; L9999 L2391; L9999 L2073; M9999  
M2073; K9472; P1741 ; P0088 ; P0099
- \*002\* 018; G0011 G0000 D01 D51 D52 G0022-R D53 G0102-R G0022 D12 D10 D18  
G0340-R G0339 G0260 D26 D58 D63 F41 F89 G0453-R F70 F93 D11 D22-R  
D23 D22 D18-R D13-R D76 D42 D54 D59 F07-R F00 F34 F53 F54 F50 N- 5A  
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; P0088
- \*003\* 018; R00351 G1558 D01 D23 D22 D31 D42 D50 D73 D82 F47; H0000; P0055  
; P8004 P0975 P0964 D01 D10 D11 D50 D82 F34; M9999 M2153-R; M9999  
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- \*004\* 018; D23 D22 D75 D45 D11 D10 D12 D13-R D18-R D22-R D76 D77 D78 D42  
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- \*005\* 018; ND01; Q9999 Q7476 Q7330; Q9999 Q8311 Q8264; Q9999 Q8355 Q8264;  
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B9999 B5094 B4977 B4740; K9836 K9790; K9870 K9847 K9790; B9999  
B3532 B3372; B9999 B4580 B4568; B9999 B4706-R B4568; B9999 B3225  
B3203 B3190; B9999 B3350 B3190; B9999 B3521-R B3510 B3372

Derwent Registry Numbers: 1496-U; 1504-U; 1505-U; 1520-U; 1524-U; 1525-U;  
1545-U; 1666-U; 1670-U; 1942-U; 2022-U

Specific Compound Numbers: R04198-K; R04198-M; R04508-K; R04508-M; R01505-K  
; R01505-M; R01525-K; R01525-M; R06977-K; R06977-M; R08290-K; R08290-M;  
RA1RUV-K; RA1RUV-M; R01496-K; R01496-M; R01942-K; R01942-M; RA1RUX-K;  
RA1RUX-M; R06079-K; R06079-M; R01545-K; R01545-M; R11619-K; R11619-M;  
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RA1438-K; RA1438-M; RA1RVP-K; RA1RVP-M

Generic Compound Numbers: 0016-85801-K; 0016-85801-M; 0016-85802-K;  
0016-85802-M

## Key Word Indexing Terms:

- \*01\* 130045-0-0-0-CL 130121-0-0-0-CL 139835-0-0-0-CL 5119-0-0-0-CL  
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